



Receipt

Atty. Docket No. 4530/1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

In re Application of : Webster HUGHES

Appln. No. : 09/706,678

Examiner: Not yet assigned

Filed : November 6, 2000

Group Art Unit: 2161

Title : METHOD AND SYSTEM FOR REBROKERING ORDERS
IN A TRADING SYSTEM

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AUG. 21 2001

Application Processing Division's Customer Correction Branch
Assistant Commissioner for Patents
Washington, D.C. 20231

Technology Center 2100

REQUEST FOR CORRECTED FILING RECEIPT

We noticed an error in the filing receipt for the application identified above.

Please correct the following error:

IN THE DOMESTIC PRIORITY DATA SECTION

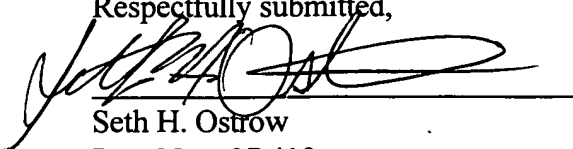
Change --WHICH--, both occurrences, to "AND".

A copy of the filing receipt with the changes noted in red thereon is enclosed.

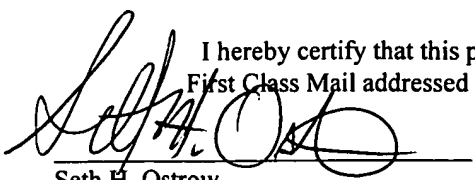
Issuance of a corrected filing receipt is respectfully requested.

Respectfully submitted,

Dated: April 23, 2001


Seth H. Ostrow
Reg. No.: 37,410
BROWN RAYSMAN MILLSTEIN
FELDER & STEINER LLP
120 West Forty-Fifth Street
New York, New York 10036
(212) 944-1515

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Seth H. Ostrow
BRMFS1 248479v1

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/706,678	11/06/2000	2161	725	4530-1	17	20	3

CONFIRMATION NO. 8283

UPDATED FILING RECEIPT



OC000000005971529

Brown Raysman Millstein Felder & Steiner LLP
120 West 45th Street
New York, NY 10036

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AUG. 21 2001

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Date Mailed: 04/16/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Webster Hughes, Charlotte, NC;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/173,581 12/29/1999

~~AND WHICH~~ CLAIMS BENEFIT OF 60/178,049 01/24/2000~~AND WHICH~~ CLAIMS BENEFIT OF 60/201,599 05/03/2000

Foreign Applications

If Required, Foreign Filing License Granted 02/06/2001

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Method and system for rebrokering orders in a trading system

RECORDS UPDATED
04/16/01 Fe

Preliminary Class
. 705

Data entry by : HARRISON, BRUCE

Team : 1700

Date: 04/16/2001



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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

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Bib Data Sheet

CONFIRMATION NO. 8283

SERIAL NUMBER 09/706,678	FILING DATE 11/06/2000 RULE	CLASS 705	GROUP ART UNIT 2164	ATTORNEY DOCKET NO. 4530-1
APPLICANTS Webster Hughes, Charlotte, NC;				
** CONTINUING DATA ***** THIS APPLN CLAIMS BENEFIT OF 60/173,581 12/29/1999 AND CLAIMS BENEFIT OF 60/178,049 01/24/2000 AND CLAIMS BENEFIT OF 60/201,599 05/03/2000				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED** SMALL ENTITY ** ** 02/06/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged Examiner's Signature _____ Initials _____		STATE OR COUNTRY NC	SHEETS DRAWING 17	TOTAL CLAIMS 20
INDEPENDENT CLAIMS 3				
ADDRESS Brown Raysman Millstein Felder & Steiner LLP 900 Third Avenue New York, NY 10022-4728				
TITLE Method and system for rebrokering orders in a trading system				
FILING FEE RECEIVED 725	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	